

## ORGANIZATION REGISTRATION APPEAL PROCESS

### I. Purpose

The purpose of this Process is to set forth the fair, independent, and nondiscriminatory regional appeals process for registration decisions.

### II. Procedures

- A. An entity included on the North American Electric Reliability Corporation (NERC) Compliance Registry within the ERCOT region that seeks to challenge or modify its listing or functional assignment must contact Texas Regional Entity (Texas RE) at [nercregistration@texasre.org](mailto:nercregistration@texasre.org), stating the reasons it contends it should not be either: (1) an owner, user, or operator of the bulk-power system, or (2) registered for a specific function. The request must include supporting evidence for the registered entity's position.
- B. Texas RE will review the request, using the entity's submitted information, other information gathered by Texas RE, the NERC Statement of Compliance Registry Criteria, the NERC Rules of Procedure, including Section 500 and Appendix 5, previous decisions of the NERC Board of Trustees Compliance Committee, previous decisions of the Federal Energy Regulatory Commission, and any other relevant information, to review the request and make a determination whether to modify the registry status.
- C. The Manager, Compliance Stakeholder Management (Manager), will issue the determination on the requested NERC Compliance Registry modification to the registered entity. The Manager may seek additional information from the registered entity to perform the analysis of the requested modification.
- D. If the registered entity seeking modification does not agree with the Manager's determination, the registered entity may appeal the requested Compliance Registry modification by filing a written objection to Texas RE, stating the basis of the objection and providing any additional evidence supporting its objection, to [nercregistration@texasre.org](mailto:nercregistration@texasre.org), within ten (10) business days of receipt of the determination.
- E. Texas RE will review the determination and the registered entity's objection and, will send the registered entity a final decision on the requested Compliance Registry modification.
- F. If a final determination does not agree with the registered entity's requested Compliance Registry modification, Texas RE will notify the registered entity in the final determination that it may challenge this decision to NERC, pursuant to Section 500 of the NERC Rules of Procedure.